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| Name:  Gender:  Address:  Email:  Citizenship:  Contact: | Liew Fui Ching  Female  913, Lorong 1,  Toa Payoh #24-05  Singapore 319772  [ivory\_floss@hotmail.com](mailto:ivory_floss@hotmail.com)  Singapore Permanent Resident /Malaysian Citizen  Mobile: +65-91138826 |  |  |

**WORK EXPERIENCE**

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| Position held: | * **Assistant Manager in Compliance, Group Anti-Money Laundering and Countering the Financing of Terrorism**   **(Great Eastern Life Assurance Company Limited, Singapore)** |
| Period: | * June 2016 until to-date |
| Roles and responsibilities: | * Effective execution and management of Anti-Money Laundering & Counter Financing of Terrorism (AML/CFT) compliance framework * Develop/review and implement AML/CFT policies, standards and guidelines which comply with Singapore AML/CFT laws across Great Eastern (“GE”) Group * Ensure that all entities across GE Group adopt adequate measures to manage/mitigate the risk of money laundering and terrorist financing, including providing relevant regulatory advisory when needed * Prepare group-wide consolidated money laundering, terrorist financing and sanction risk assessment report, including collate and review the submission of such reports across GE Group * Conduct compliance monitoring/ oversight on AML/CFT framework throughout GE Group * Develop/review and implement/administer sanction monitoring program and to provide advisory and system support on AML/CFT and sanction matters to all entities * Keep abreast of the global and local developments in AML/CFT laws and regulations and prevailing techniques, methods and trends in money laundering and terrorist financing and sanction requirements * Prepare and submit reporting to various stakeholders, including Board of Directors, Senior Management and regulators |
| Position held: | * **Senior Internal Auditor**   **(Maybank Kim Eng Securities Pte Ltd, Singapore)** |
| Period: | * June 2013 until June 2016 |
| Roles and responsibilities: | Perform control testing on the following areas:   * Anti-Money Laundering – Review of AML/CFT governance, review of internal policies and procedures against Head Office and local AML/CFT regulatory requirements and dissemination of changes, onboarding of clients (Customer Due Diligence (CDD)/Enhanced CDD, risk classification and exceptional approval), review of adequacy of screening system, maintenance of client particulars into system, periodic review on clients, transactions monitoring and event triggered review, suspicious transaction reporting and review of Compliance role on AML/CFT * Operational Risk Management – Review on the following areas:  1. Risk Management Governance 2. Risk framework, policies and procedures 3. Implementation of risk policies and procedures 4. Risk monitoring and reporting 5. System user access  * Equities Dealing – Order placement and execution (including review on Compliance work program), Trading representatives management, staff trades, trading representative register of interest in securities, internet trading systems, Direct Market Access and Execution Desk Access, System and physical access * Futures – Credit evaluation and credit searches on clients and brokers, credit/trading limit maintenance and monitoring, margin requirements and revaluation, settlement process, finance and reporting, manage outsourcing services and review on system and physical access rights * Asset management – Counterparty risk, trade recording, corporate actions, fund subscription and redemption, ethical procedures (Chinese Wall policy, Standard of Professional Conduct, staff trading activities), trustee and custodian agreements, business continuity management, system access and matrix * Corporate Finance – Compliance with MAS Securities and Futures Regulations (Representative license, Register of interest in securities, AML/ CFT training for staff), review the advisory/business process, monitoring of underwriting commitment by Finance, review of balance scorecard and competences of staff * Research – Review on financial and business relationship of the firm, research publications, review of Compliance work scope |

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| Position held: | * **Assistant Manager**   **(Assurance, Financial Services Industry Practice, PricewaterhouseCoopers LLP, Singapore)** |
| Period: | * January 2012 until November 2012 |
| Engagement portfolios: | * Technically contributed to relevant assurance engagements and internal projects. Involved in the assurance engagements such as follow:-  |  |  |  | | --- | --- | --- | | **Engagements** | **Industries** | **Roles** | | Arisaig Partners (Asia) Pte Ltd | Asset management | Team leader | | Wellington Investment Management Company Pte Ltd | Asset management | Team leader | | Nikko Asset Management | Asset management | Team leader | | AllianceBernstein (Singapore) Ltd | Asset management | Team leader | | iGlobe Partners | Asset management | Team leader | | Providend Global Portfolios | Asset management | Team leader | | Hupomone Capital Fund LP | Asset management | Team leader | |

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| Position held: | * **Senior Associate**   **(Assurance, Global Financial Services, Ernst & Young Kuala Lumpur, Malaysia)** |
| Period: | * April 2008 until December 2011 |
| Engagement portfolios: | * Technically contributed to relevant assurance engagements and internal projects. Involved in the assurance engagements such as follow:-  |  |  |  | | --- | --- | --- | | **Engagements** | **Industries** | **Roles** | | Public Mutual Berhad | Asset management | Team member | | OSK-UOB Investment Management Berhad | Asset management | Team leader | | AmBank Berhad | Banking and capital markets | Team member | | AmAssurance Berhad | Insurance | Team member | | AmInvestment Bank Berhad | Asset management | Team member | | Navis Capital Partners Ltd – Fund Managers | Asset management | Team leader | | Navis Capital Partners Ltd – Funds | Asset management | Team leader | |
| Roles and responsibilities: | * Lead the team and managed audit fieldworks of various types of companies in asset management industries. * Prior to the start of an engagement, analyse the financial information, determine audit approaches and prepare audit planning to carry out financial and operational audits. * Gain an understanding on the business operations of the client, including processes and information flows and associated controls. * Review the effectiveness of the clients’ internal control systems by performing test of controls and ensure that they are in accordance with group/company’s policies. * Prepare reports on findings and recommendations to management. * Review consolidation of account workings. * Review draft audit reports and review points which highlight business status, major issues, material deviation or misstatement (if any) to assist partners to form an audit opinion. * Review subordinates’ audit files and draft audited reports before submitting to managers and partners. * Plan and manage resources i.e. time and personnel to enable audit performed on a timely and cost effective manner. * Provide guidance to subordinates in completing task assigned as well as, review and assess subordinates’ performance and coordinate with clients to obtain financial statements and supporting schedules to ease planning of resources. |

**PROFESSIONAL QUALIFICATIONS**

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| Qualifications awarded: | * **Member of Association Chartered Certified Accountants (ACCA)** * **Member of Malaysian Institute of Accountants (MIA)** * **Bachelor of Science in Applied Accounting (Upper Second Class Honours) by Oxford Brookes University** * **Certified Accounting Technician (CAT)** |

**EDUCATION HISTORY**

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| Learning provider: | * Sunway University College |
| Period: | * January 2005 until December 2007 |
| Scholarship: | * Tan Sri Dato’ Seri Dr Jeffrey Cheah Entrance Scholarship * Scholarship was awarded to high school students with outstanding academic performance |
| Extracurricular activities: | * Participated in ‘Gain the Extra Edge in Presentation Skills’ by Evo Training and Consulting * Participated in Badminton (Women Doubles) event for the 2nd Sunway ACCA Club Mini Sports Day * Participated in Basketball (Women) event for the 3rd Sunway ACCA Club Mini Sports Day |

**PERSONAL ATTRIBUTES AND SKILLS**

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| Personal attributes: | * Actively established, maintain and strengthen internal and external relationships. * Created a positive learning culture, coached, counseled and developed junior team members. * Demonstrated consistent commitment and optimism towards work challenges. |
| Skills: | * Computer proficiencies – Microsoft Power Point, Excel and Word * Languages – (i) Spoken: English, Bahasa Malaysia, Cantonese and Mandarin   (ii) Written: English, Bahasa Malaysia |

**REFERENCE**

This will be provided upon request.